



IRS Substitute Form W-9

Request For Taxpayer Identification Number and Certification (For Use By U.S. Citizens, U.S. Entities, Or Resident Aliens Only)

Return form to the requesting IU department

Under the United States Internal Revenue Code, Indiana University is required to obtain Taxpayer Identification Numbers (TIN) when making reportable payments to individuals or entities. Certain payments may be subject to a backup withholding rate of twenty-eight percent when this information is not provided. The IRS may also assess a penalty of \$50 per form unless failure to comply is due to reasonable cause and not willful neglect.

Print or Type See specific instructions on Form W-9 Instructions

Legal name (as shown on your income tax return and matches TIN number listed in Part I)
Business name (only use when "doing business as" (dba) is a different name than the legal name listed above)
Check appropriate box for federal tax classification
Individual (SSN) Single Member LLC (SSN/EIN) Name = Individual Estate/Trust (EIN)
Sole Proprietor (SSN/EIN) LLC (EIN) Enter Tax Classification Code \_\_\_\_\_ Non-Profit Organization (EIN)
C Corporation (EIN) (C = C Corporation, S = S Corporation, P = Partnership) Governmental (EIN)
S Corporation (EIN) Partnership (EIN) Other \_\_\_\_\_
Check the box below if you perform health care or legal services
Health Care Services Legal Services
Exemptions (see Form W-9 Instructions) Exempt Payee Code \_\_\_\_\_
Exemption from FATCA Reporting code (if any) \_\_\_\_\_
Address (number, street, and apt. or suite no.)
City State Zip Code

Part I Taxpayer Identification Number (as reported for tax purposes and matches legal name above)

Social Security Number/ITIN (Legal name above must be individual name) \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

\*\*\* OR (only one) \*\*\*

Employer Tax ID Number \_\_\_\_\_ - \_\_\_\_\_

Part II Certification

Under penalties of perjury, I certify that:
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.
The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Sign Here Signature of U.S. person Date